

# **ORIGINAL ARTICLE**



# Alpelisib plus fulvestrant for *PIK3CA*-mutated, hormone receptor-positive, human epidermal growth factor receptor-2—negative advanced breast cancer: final overall survival results from SOLAR-1

F. André<sup>1\*</sup>, E. M. Ciruelos<sup>2</sup>, D. Juric<sup>3</sup>, S. Loibl<sup>4</sup>, M. Campone<sup>5</sup>, I. A. Mayer<sup>6</sup>, G. Rubovszky<sup>7</sup>, T. Yamashita<sup>8</sup>, B. Kaufman<sup>9</sup>, Y.-S. Lu<sup>10</sup>, K. Inoue<sup>11</sup>, Z. Pápai<sup>12</sup>, M. Takahashi<sup>13</sup>, F. Ghaznawi<sup>14</sup>, D. Mills<sup>15</sup>, M. Kaper<sup>14</sup>, M. Miller<sup>14</sup>, P. F. Conte<sup>16</sup>, H. Iwata<sup>17</sup> & H. S. Rugo<sup>18</sup>

<sup>1</sup>Department of Medical Oncology, Institut Gustave Roussy, Villejuif and Paris Saclay University, Orsay, France; <sup>2</sup>Medical Oncology, Hospital Universitario 12 de Octubre, Madrid, Spain; <sup>3</sup>Department of Medicine, Massachusetts General Hospital Cancer Center, Boston, USA; <sup>4</sup>Department of Medicine and Research, German Breast Group, GBG Forschungs GmbH, Neu-Isenburg, Germany; <sup>5</sup>Medical Oncology, Institut de Cancerologie de l'Ouest, Saint-Herblain, Nantes Cedex, France; <sup>6</sup>Hematology/ Oncology, Vanderbilt University, Nashville, USA; <sup>7</sup>Department of Medical Oncology and Clinical Pharmacology, National Institute of Oncology, Budapest, Hungary; <sup>8</sup>Department of Breast and Endocrine Surgery, Kanagawa Cancer Center, Yokohama, Japan; <sup>9</sup>Medical Oncology, Tel Aviv University, Sheba Medical Centre, Tel Hashomer, Israel; <sup>10</sup>Medical Oncology, National Taiwan University Hospital, Taipei, Taiwan; <sup>11</sup>Breast Surgery, Saitama Cancer Center, Saitama, Japan; <sup>12</sup>Medical Oncology, Hungarian Defence Forces Medical Centre, Budapest, Hungary; <sup>13</sup>Breast Surgery, NHO Hokkaido Cancer Center, Sapporo, Japan; <sup>14</sup>Novartis Pharmaceuticals Corporation, East Hanover, USA; <sup>15</sup>Novartis Pharma AG, Basel, Switzerland; <sup>16</sup>Medical Oncology, Universita di Padova and Oncologia Medica 2, Istituto Oncologio Veneto IRCCS, Padua, Italy; <sup>17</sup>Breast Oncology, Aichi Cancer Center Hospital, Aichi, Japan; <sup>18</sup>Breast Department, UCSF Helen Diller Family Comprehensive Cancer Center, San Francisco, USA



Available online 25 November 2020

**Background:** Activation of the phosphatidylinositol-3-kinase (PI3K) pathway via *PIK3CA* mutations occurs in 28%-46% of hormone receptor-positive (HR+), human epidermal growth factor receptor-2-negative (HER2-) advanced breast cancers (ABCs) and is associated with poor prognosis. The SOLAR-1 trial showed that the addition of alpelisib to fulvestrant treatment provided statistically significant and clinically meaningful progression-free survival (PFS) benefit in *PIK3CA*-mutated, HR+, HER2- ABC.

**Patients and methods:** Men and postmenopausal women with HR+, HER2– ABC whose disease progressed on or after aromatase inhibitor (AI) were randomized 1 : 1 to receive alpelisib (300 mg/day) plus fulvestrant (500 mg every 28 days and once on day 15) or placebo plus fulvestrant. Overall survival (OS) in the *PIK3CA*-mutant cohort was evaluated by Kaplan–Meier methodology and a one-sided stratified log-rank test was carried out with an O'Brien–Fleming efficacy boundary of  $P \leq 0.0161$ .

**Results:** In the *PIK3CA*-mutated cohort (n = 341), median OS [95% confidence interval (CI)] was 39.3 months (34.1-44.9) for alpelisib-fulvestrant and 31.4 months (26.8-41.3) for placebo-fulvestrant [hazard ratio (HR) = 0.86 (95% CI, 0.64-1.15; P = 0.15)]. OS results did not cross the prespecified efficacy boundary. Median OS (95% CI) in patients with lung and/or liver metastases was 37.2 months (28.7-43.6) and 22.8 months (19.0-26.8) in the alpelisib-fulvestrant and placebo-fulvestrant arms, respectively [HR = 0.68 (0.46-1.00)]. Median times to chemotherapy (95% CI) for the alpelisib-fulvestrant and placebo-fulvestrant arms were 23.3 months (15.2-28.4) and 14.8 months (10.5-22.6), respectively [HR = 0.72 (0.54-0.95)]. No new safety signals were observed with longer follow-up.

**Conclusions:** Although the analysis did not cross the prespecified boundary for statistical significance, there was a 7.9month numeric improvement in median OS when alpelisib was added to fulvestrant treatment of patients with *PIK3CA*mutated, HR+, HER2– ABC. Overall, these results further support the statistically significant prolongation of PFS observed with alpelisib plus fulvestrant in this population, which has a poor prognosis due to a *PIK3CA* mutation. **ClinicalTrials.gov Id:** NCT02437318.

Key words: alpelisib, overall survival, PIK3CA, PI3Ka, breast cancer

\**Correspondence to:* Prof Fabrice André, Department of Medical Oncology, Institut Gustave Roussy, 114 Rue Edouard Vaillant, Villejuif, 94805, France. Tel: +33-1-42-11-61-59

# INTRODUCTION

Mutations in the *PIK3CA* gene, which encodes the p110 $\alpha$  subunit of phosphatidylinositol-3-kinase (PI3K), are present in 28%-46% of people with hormone receptor-positive (HR+), human epidermal growth factor receptor-2-negative (HER2-) advanced breast cancer (ABC),<sup>1-8</sup> and

E-mail: Fabrice.ANDRE@gustaveroussy.fr (F. André).

<sup>0923-7534/© 2020</sup> The Authors. Published by Elsevier Ltd on behalf of European Society for Medical Oncology. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

are associated with chemoresistance and poor prognosis.<sup>8,9</sup> This includes reduced overall survival (OS), reported as 19.6 months, versus 23.5 months for those without a *PIK3CA* mutation, in the SAFIR-02 study.<sup>8</sup>

Alpelisib is an orally bioavailable,  $\alpha$ -selective, PI3K inhibitor that is 50 times more potent against PI3Ka than other isoforms.<sup>10</sup> In preclinical models, alpelisib demonstrated a dual mechanism of action (MOA) by inhibiting PI3K and inducing p110 $\alpha$  degradation in a dose-dependent manner.<sup>11</sup> Alpelisib demonstrated statistically significant efficacy in combination with fulvestrant following prior aromatase inhibitor (AI)-based treatment in the SOLAR-1 trial (NCT02437318).<sup>10,12-14</sup> SOLAR-1 is a global, phase 3, prospective study that assessed a PI3Ka inhibitor-and demonstrated clinically relevant progression-free survival (PFS) benefit—in patients with HR+, HER2-, PIK3CAmutated ABC: median PFS was 11.0 months in the alpelisib plus fulvestrant arm versus 5.7 months in the placebo plus fulvestrant arm [hazard ratio (HR) = 0.65; 95% confidence interval (CI), 0.50-0.85; P = 0.00065], with respective overall response rates of 26.6% (95% CI, 20.1-34.0) versus 12.8% (95% CI, 8.2-18.7)<sup>12,15</sup> and a safety profile consistent with previous reports.<sup>16,17</sup> Patients with  $\geq 1$  of 11 specific PIK3CA mutations as determined in tumor tissue could enroll.<sup>12,18</sup> Consistent PFS benefit was observed regardless of location of PIK3CA mutation (E542X, E545X, and H1047X), suggesting that a predictive value of specific PIK3CA mutations was not observed.<sup>12,16,17</sup> At the time of the primary analysis, OS data were not yet mature.<sup>13</sup> Here, we report results from the prespecified final inferential analysis of OS for the PIK3CA-mutated cohort of SOLAR-1.

# PATIENTS AND METHODS

#### Trial design and patients

Details of the SOLAR-1 trial were described previously.<sup>12</sup> Briefly, postmenopausal women or men with confirmed estrogen receptor-positive (ER+) and/or progesterone receptor-positive (PgR+), HER2- ABC that progressed on or after prior AI were eligible for SOLAR-1. No prior chemotherapy in the advanced setting was allowed. Patients were enrolled in one of two cohorts based on the presence of a PIK3CA mutation as tested in tumor tissue at the time of study screening. Patients were stratified by the presence of lung or liver metastases and by prior treatment with cyclindependent kinase 4/6 inhibitors (CDK4/6i). Patients in both the PIK3CA-mutant and non-mutant cohorts were randomized 1 : 1 to receive either 300 mg alpelisib orally (p.o.) once daily (od) or placebo in combination with 500 mg fulvestrant intramuscularly (i.m.), starting on days 1 and 15 of cycle 1, then on day 1 of every subsequent 28-day cycle  $(\pm 3 \text{ days})$ . Treatment crossover from placebo plus fulvestrant to alpelisib plus fulvestrant was not permitted. Dose modifications were permitted in the event of severe to intolerable adverse reaction; up to two dose reductions were allowed (only one was allowed for pancreatitis), after which the patient was discontinued from treatment with alpelisib or placebo. The trial was conducted in accordance with the principles of the Declaration of Helsinki and Good Clinical Practice guidelines. Written informed consent was obtained from all participants for trial participation and biomarker sample collection.<sup>12</sup>

# Endpoints

As previously reported, the primary endpoint in SOLAR-1 was investigator-assessed PFS per Response Evaluation Criteria in Solid Tumors (RECIST) v1.1 in the cohort of patients with PIK3CA-mutated cancer.<sup>12</sup> The key secondary endpoint, OS in the cohort of patients with PIK3CA-mutated cancer, was to be evaluated if the primary study endpoint was met. Exploratory endpoints analyzed included progression-free survival 2 (PFS2) and time to chemotherapy (TTC), with the latter evaluated post hoc. Per protocol, PFS2 was defined as the time from randomization to the first documented disease progression (PD) on the first new systemic antineoplastic therapy initiated after discontinuation of study treatment, or death from any cause, censored at last contact date. TTC was defined as the time from randomization to date of first administration of chemotherapy. Exploratory OS analysis was conducted in patients with PIK3CA mutation in plasma circulating tumor DNA (ctDNA), regardless of their assignment to the PIK3CA-mutant or non-mutant cohort per tissue at the time of study screening. Plasma ctDNA was collected at baseline and tested for a PIK3CA mutation using the Qiagen therascreen PIK3CA RGQ polymerase chain reaction (PCR) kit. Safety was assessed continuously, per the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) version 4.03, in the safety population (mutant and non-mutant cohorts) until 30 days after the last dose of study treatment, as previously reported.<sup>12,19</sup>

#### Statistical analysis

The key secondary endpoint had 72% power at a one-sided overall 2.0% level of significance to reject the null hypothesis of no treatment effect, using a stratified log-rank test and a three-look sequential design, if the true HR was 0.67, corresponding to an improvement of 15 months in the median OS (assuming 30 months for the control arm and 45 months for the alpelisib arm). The final inferential analysis of OS was prespecified in the protocol to be conducted in the cohort of patients with PIK3CA-mutated cancer after documentation of approximately 178 deaths. The type I error probability was controlled using an O'Brien-Fleming spending function independent of the Haybittle-Peto spending function used for PFS; final OS would be statistically significant if  $P \leq 0.0161$ . OS was analyzed using Kaplan-Meier methodology, and Cox regression, adjusted for stratification parameters, to estimate HR and 95% Cls.

#### RESULTS

#### Patients and treatment

Of the 572 patients enrolled in SOLAR-1, 341 were confirmed to have *PIK3CA* mutations detected in tissue and enrolled in the *PIK3CA*-mutant cohort (169 patients in the

<b>Cohort of patients with </b> <i>PIK3CA</i> <b>-mutated cancer</b> Patients, <i>n</i> (%) <sup>a</sup>	Alpelisib + fulvestrant (n = 169)	Placebo + fulvestrant (n = 172)
On treatment	21 (12.4)	7 (4.1)
Discontinued treatment	148 (87.6)	164 (95.3)
PD	111 (65.7)	138 (80.2)
Patient/guardian decision	17 (10.1)	7 (4.1)
Physician decision	8 (4.7)	9 (5.2)
AE	5 (3.0)	3 (1.7)
Protocol deviation	4 (2.4)	3 (1.7)
Death	3 (1.8)	4 (2.3)

<sup>a</sup> Patients ongoing at the time of the 23 April 2020, data cut-off.

alpelisib plus fulvestrant arm and 172 patients in the placebo plus fulvestrant arm); 231 patients were enrolled in the non-mutant cohort (115 and 116 patients, respectively, in the two treatment arms).

In the *PIK3CA*-mutant cohort, the median follow-up from randomization to data cut-off (23 April 2020) was 42.4 months (range, 33.1-55.7 months). By the time of data cut-off, 21 (12.4%) patients in the alpelisib plus fulvestrant arm versus 7 patients (4.1%) in the placebo plus fulvestrant arm were still receiving study treatment (Table 1). The most common reason for discontinuation in both arms was progressive disease: 65.7% and 80.2% of patients in the alpelisib plus fulvestrant versus placebo plus fulvestrant arms, respectively; 3.0% (n = 5) and 1.7% (n = 3) patients discontinued the treatment phase due to an adverse event (AE). The median duration of exposure

to study drug in the cohort of patients with *PIK3CA*mutated cancer was 5.5 months for alpelisib (range 0-51.4 months) and 8.3 months for fulvestrant (range 0.4-51.4 months) in the alpelisib plus fulvestrant arm, and 4.6 months for placebo (range 0-52.5 months) and 5.5 months for fulvestrant (range 0.5-52.5 months) in the placebo plus fulvestrant arm.

#### OS

The OS analysis was based on 181 deaths: 87 events in the alpelisib plus fulvestrant arm and 94 in the placebo plus fulvestrant arm. The median follow-up for OS, defined as the time from randomization to OS event or censoring, was 30.8 months (range 0.4-53.4 months). Median OS was 39.3 months (95% CI, 34.1-44.9) in the alpelisib plus fulvestrant arm versus 31.4 months (95% CI, 26.8-41.3) in the placebo plus fulvestrant arm (HR = 0.86; 95% CI, 0.64-1.15; one-sided P = 0.15; Figure 1). The prespecified O'Brien–Fleming efficacy boundary ( $P \le 0.0161$ ) was not crossed.

Subgroup analyses were also carried out and included the study stratification factors of lung/liver metastases and prior CDK4/6is (Figure 2). Although several subgroups had a small number of patients, the OS HR was 0.68 (95% CI, 0.46-1.00) in patients with lung and/or liver metastases. Median OS in patients with lung and/or liver metastases was 37.2 months (95% CI, 28.7-43.6) versus 22.8 months (95% CI, 19.0-26.8) in the alpelisib plus fulvestrant versus placebo plus fulvestrant arms, respectively (Figure 3A).

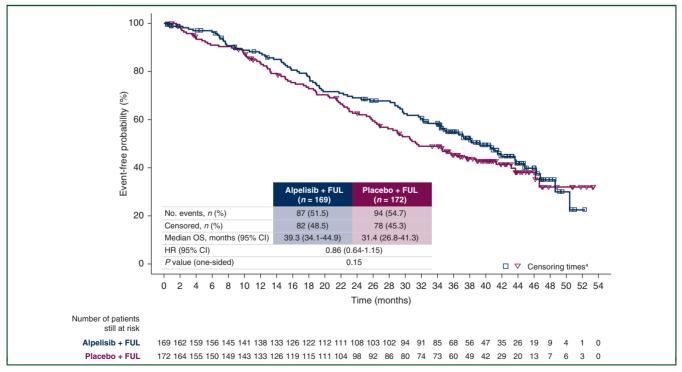


Figure 1. Overall survival in PIK3CA-mutant cohort of patients comparing alpelisib plus fulvestrant and placebo plus fulvestrant treatment arms using one-sided stratified log-rank test.

Cl, confidence interval; FUL, fulvestrant; HR, hazard ratio; OS, overall survival.

<sup>&</sup>lt;sup>a</sup> Date of censoring is defined as the last contact date.

Subgroup		No. of patients	No. patients with events			Hazard ratio (95% C	)
All subjects		341	181			0.86 (0.64-1.15)	
Lung/liver metastases	Yes	170	105			0.68 (0.46-1.00)	
	No	171	76			1.18 (0.74-1.86)	
Bone-only disease	Yes	77	31		•	1.74 (0.83-3.68)	
	No	264	150			0.76 (0.55-1.06)	
Prior CDK4/6 inhibitor treatment	Yes	20	16			0.67 (0.21-2.18)	
	No	321	165	+		0.87 (0.64-1.18)	
ER status	Positive	339	180			0.86 (0.64-1.16)	
PgR status	Positive	252	131			0.78 (0.55-1.11)	
	Negative	84	46			1.07 (0.58-1.99)	
ER and PgR status	Both positive	250	130			0.79 (0.55-1.12)	
	Positive - negative	84	46			1.07 (0.58-1.99)	
Line of advanced anticancer treatment	First line	177	90			0.78 (0.51-1.19)	
	Second line	161	88		_	0.93 (0.61-1.43)	
Endocrine status	Primary resistance	45	31	<b>•</b>	-	0.62 (0.29-1.35)	
	Secondary resistance	246	134			0.92 (0.65-1.29)	
	Sensitive	39	9			0.82 (0.22-3.14)	
ECOG status	0	225	108			0.86 (0.59-1.27)	
	1	114	73			0.77 (0.47-1.23)	
				0 1	2	3	
			•	Favors alpelisib	Favors placebo		

#### Figure 2. Overall survival by subgroups in the cohort of patients with *PIK3CA*-mutated cancer.

Full analysis set, *PIK3CA*-mutant cohort. Presence of lung and/or liver metastases (yes/no) and prior CDK4/6 inhibitor treatment (yes/no) were stratification factors. Within each randomization stratum, Cox proportional hazards model was stratified by the other randomization stratum.

CDK4/6, cyclin-dependent kinase 4/6; CI, confidence interval; ECOG, Eastern Cooperative Oncology Group; ER, estrogen receptor; PgR, progesterone receptor.

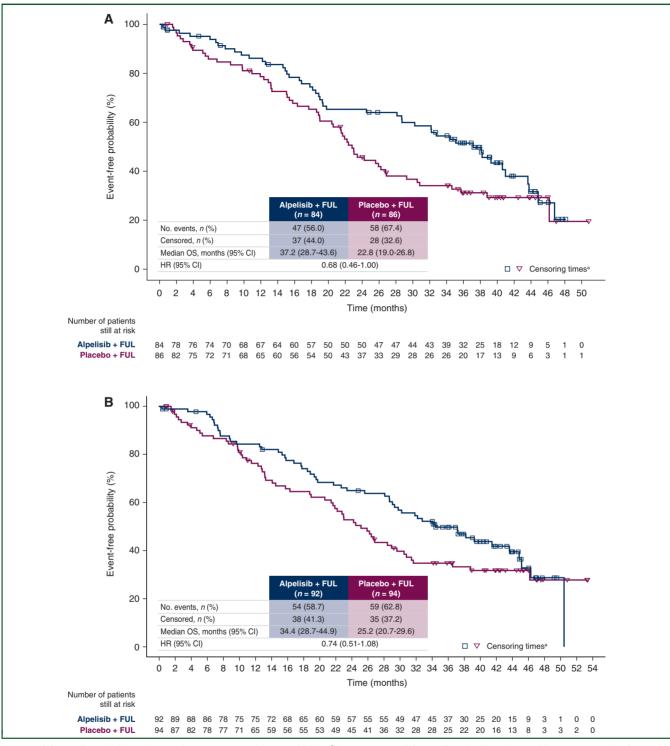


Figure 3. (A) Overall survival in patients with PIK3CA-mutated cancer with lung/liver metastases. (B) Overall survival in patients with PIK3CA mutation detected in plasma ctDNA.

Cl, confidence interval; ctDNA, circulating tumor DNA; FUL, fulvestrant; HR, hazard ratio; OS, overall survival.

<sup>a</sup> Date of censoring is defined as the last contact date. Includes patients with a *PIK3CA* mutation in plasma ctDNA, regardless of assignment to *PIK3CA*-mutant or non-mutant cohort per tissue.

Among patients with *PIK3CA*-mutated disease as detected using plasma ctDNA (Figure 3B), median OS was 34.4 months (95% CI, 28.7-44.9) in the alpelisib plus fulvestrant arm, compared with 25.2 months (95% CI, 20.7-29.6) in the placebo plus fulvestrant arm (HR = 0.74; 95% CI, 0.51-1.08).

# First antineoplastic medication after discontinuation of study treatment

Overall, 148 and 164 patients in the alpelisib plus fulvestrant versus placebo plus fulvestrant arms discontinued study treatment. Of these patients, 116 (78.4%) and 134 (81.7%),

Table 2. First new antineoplastic medication after discontinuation of study treatment, cohort of patients with <i>PIK3CA</i> -mutated cancer						
Patients, n (%)	Alpelisib + fulvestrant (n = 169)	Placebo + fulvestrant (n = 172)				
Patients starting subsequent medication/patients discontinuing treatment, n/N (%)	116ª/148 (78.4)	134ª/164 (81.7)				
Chemotherapy	38 (32.8)	49 (36.6)				
$Chemotherapy + other^{b}$	20 (17.2)	26 (19.4)				
Hormonal therapy alone	20 (17.2)	21 (15.7)				
Hormonal therapy $+$ other <sup>c</sup>	37 (31.9)	35 (26.1)				
Everolimus	20 (17.2)	21 (15.7)				
CDK4/6i	17 (11.5)	22 (13.4)				
Targeted therapy alone	1 (0.1)	2 (1.5)				
Other	0	1 (0.1)				

A patient was counted only once in one of the medication types. Medication type was based on medical review.

CDK4/6, cyclin-dependent kinase 4/6.

<sup>a</sup> Used as the denominator for the percentages.

<sup>b</sup> Includes patients who received chemotherapy plus hormonal therapy.

<sup>c</sup> Includes patients who received hormonal therapy plus targeted therapy plus other.

respectively, initiated a new subsequent treatment with an antineoplastic medication (Table 2). Among patients who received a subsequent therapy, chemotherapy-based treatment-including patients who received chemotherapy plus hormonal therapy—was most common across both arms: 50.0% and 56.0%, respectively, as the first therapy to be received. Hormone therapy-based regimens-including patients who received hormonal therapy plus targeted therapy plus other therapies—were initiated by 49.1% and 41.8% of patients in the alpelisib plus fulvestrant and placebo plus fulvestrant arms, respectively. Subsequent treatment included a targeted therapy alone or in combination with hormone therapy in 38 (32.8%) and 37 (27.6%) patients in the alpelisib plus fulvestrant and placebo plus fulvestrant arms, respectively. These regimens included a CDK4/6i in a similar proportion of patients across the two treatment arms: 17 (11.5%) and 22 (13.4%) patients, respectively.

#### PFS on next subsequent therapy and TTC

By the time of data cut-off, 118 patients in the alpelisib plus fulvestrant arm and 126 patients in the placebo plus fulvestrant arm had progressed on the next subsequent therapy after discontinuation of study treatment or had died from any cause (defined as PFS2). In an exploratory analysis, median PFS2 in the cohort of patients with *PIK3CA*mutated cancer was 22.8 months (95% CI, 18.5-26.3) with alpelisib plus fulvestrant versus 18.2 months (95% CI, 12.8-22.2) with placebo plus fulvestrant (HR = 0.80; 95% CI, 0.62-1.03; Figure 4A).

In a post hoc exploratory analysis, 95 patients (56.2%) in the alpelisib plus fulvestrant arm and 109 patients (63.4%) in the placebo plus fulvestrant arm went on to receive their first chemotherapy in the metastatic setting (with censoring at the last contact date or death), following discontinuation of study treatment. Median TTC was 23.3 months (95% Cl, 15.2-28.4) in the alpelisib plus fulvestrant arm versus 14.8

#### Safety

With longer follow-up, discontinuations due to AEs at end of treatment in the *PIK3CA*-mutant cohort (Table 1) and AEs leading to discontinuations in both *PIK3CA*-mutant and non-mutant cohorts (Table 3) were consistent with those previously reported for SOLAR-1<sup>12</sup>; no new safety signals or cumulative toxicity for any AE were observed. The incidence of hyperglycemia did not increase with longer time on treatment. Observed AEs continued to be generally manageable with close monitoring, administration of concomitant medication, and dose modifications when necessary, as described previously.<sup>12,19</sup>

Rash AEs of special interest, comprising the preferred terms of rash, rash maculo-papular, rash macular, dermatitis, dermatitis acneiform, rash papular, rash pruritic, drug eruption, genital rash, and rash pustular, were observed in 54% of patients in the alpelisib plus fulvestrant arm, versus 9% of patients in the placebo plus fulvestrant arm. However, most of these events were low grade (grade 1 or grade 2). Considering the current coronavirus disease (COVID-19) pandemic, there were no cases of confirmed or suspected COVID-19 infection, and no COVID-19-related deaths were reported.

#### DISCUSSION

In this final inferential, protocol-specified OS analysis of SOLAR-1, the addition of alpelisib to fulvestrant resulted in a prolongation of median OS of 7.9 months in patients with HR+, HER2-, *PIK3CA*-mutated ABC, although the prespecified O'Brien-Fleming efficacy boundary was not crossed. After more than 3 years of follow-up, three times as many patients still remained on therapy in the alpelisib plus fulvestrant arm than in the placebo plus fulvestrant arm (21 versus 7 patients). It is notable that more patients were still receiving treatment in the alpelisib plus fulvestrant arm considering the poor prognosis of these patients with *PIK3CA*-mutated disease. Because *PIK3CA* mutations are shown to be associated with reduced survival, there exists a high unmet need in HR+, HER2- ABC.<sup>8</sup>

To assess the value of alpelisib in addressing this unmet need, the SOLAR-1 trial was specifically designed to prospectively evaluate this PI3K $\alpha$ -selective inhibitor in combination with fulvestrant for patients with HR+, HER2– ABC, including patients with or without *PIK3CA*-mutated disease, and demonstrated clinically relevant PFS benefit in patients with a *PIK3CA* mutation.<sup>12</sup> However, an important part of the trial design was evaluation of alpelisib plus fulvestrant in patients without *PIK3CA*-mutated disease. The efficacy proof-of-concept criteria were not met for the addition of alpelisib to fulvestrant in the cohort of patients with *PIK3CA*-non-mutated cancer, demonstrating that *PIK3CA* is a predictive biomarker for alpelisib activity. Other phase 2/3 trials of nonselective PI3K or CDK4/6is have reported

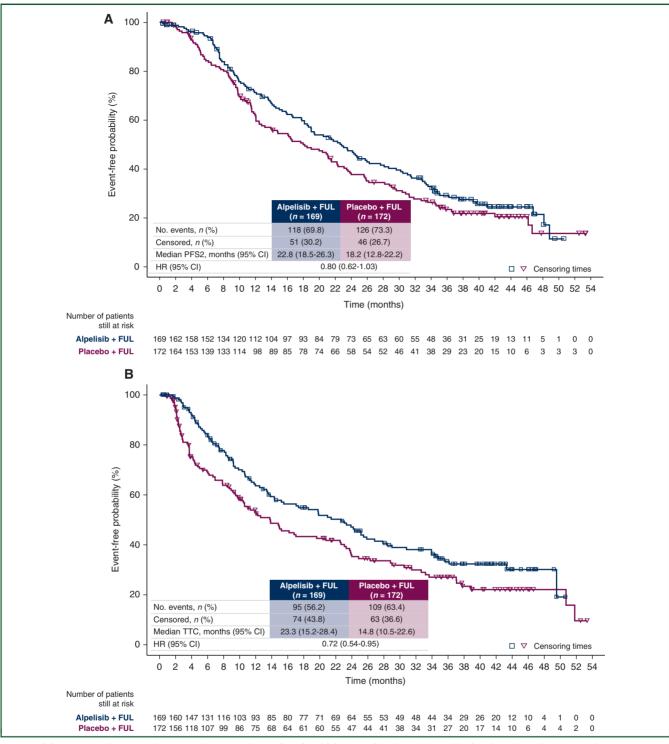


Figure 4. (A) Progression-free survival on next subsequent therapy (PFS2) and (B) time to first chemotherapy (TTC) in the cohort of patients with PIK3CA-mutated cancer.

PFS2 is defined as the time from randomization to the first documented PD on the first new (systemic) antineoplastic therapy initiated after discontinuation of study treatment, or death from any cause. TTC is defined as time from randomization to first chemotherapy, censored at last contact date or death. CI, confidence interval; FUL, fulvestrant; HR, hazard ratio.

retrospective subgroup analyses wherein a PFS benefit was observed regardless of *PIK3CA* mutation status.<sup>1,20,21</sup>

As clinically meaningful improvement in PFS confers the greatest immediate benefit to address unmet need for these patients,<sup>22</sup> assessing OS is an important clinical question. The prospective SOLAR-1 trial demonstrated an

approximate 8-month numeric improvement in median OS with the addition of alpelisib to fulvestrant in patients with *PIK3CA*-mutated HR+, HER2– ABC, a population known to have poor prognosis.

Although CDK4/6is are now standard of care, this was not the case during SOLAR-1 enrollment; only a small number of

Most frequent AEs ( $\geq$ 20% in either arm), <i>n</i> (%)	Alpelisib + fulvestrant ( $n = 284$ ) <sup>a</sup>			Placebo + fulvestrant ( $n = 287$ ) <sup>a</sup>			
	All grades	Grade 3	Grade 4	All grades	Grade 3	Grade 4	
Any AE	282 (99.3)	187 (65.8)	35 (12.3)	267 (93.0)	90 (31.4)	17 (5.9)	
Hyperglycemia	184 (64.8)	94 (33.1)	11 (3.9)	27 (9.4)	2 (0.7)	1 (0.3)	
Diarrhea	169 (59.5)	20 (7.0)	0	47 (16.4)	2 (0.7)	0	
Nausea	133 (46.8)	8 (2.8)	0	65 (22.6)	1 (0.3)	0	
Decreased appetite	103 (36.3)	2 (0.7)	0	30 (10.5)	1 (0.3)	0	
Rash	103 (36.3)	28 (9.9)	0	20 (7.0)	1 (0.3)	0	
Vomiting	81 (28.5)	2 (0.7)	0	29 (10.1)	1 (0.3)	0	
Weight decreased	79 (27.8)	15 (5.3)	0	7 (2.4)	0	0	
Fatigue	72 (25.4)	10 (3.5)	0	51 (17.8)	3 (1.0)	0	
Stomatitis	71 (25.0)	7 (2.5)	0	20 (7.0)	0	0	
Asthenia	64 (22.5)	7 (2.5)	0	39 (13.6)	0	0	
Alopecia	58 (20.4)	0	0	7 (2.4)	0	0	

Safety set (N = 571). Numbers (n) represent counts of patients. A patient with multiple severity grades for an AE is only counted under the maximum grade. Medical Dictionary for Regulatory Activities version 23.0, Common Terminology Criteria for Adverse Events version 4.03.

<sup>a</sup> AEs (any grade) leading to discontinuations of one or both treatments in the safety set (both *PIK3CA*-mutant and non-mutant cohorts) occurred in 75 patients (26.4%) in the alpelisib plus fulvestrant arm and 16 patients (5.6%) in the placebo plus fulvestrant arm.<sup>12</sup>

patients with PIK3CA-mutations and prior CDK4/6i were enrolled in the study (n = 20, 5.9%).<sup>12</sup> In cohort A of the ongoing BYLieve trial, alpelisib plus fulvestrant was evaluated in patients who had progressed on immediate prior CDK4/6i plus AI. Alpelisib plus fulvestrant met its primary endpoint in this cohort, with 50.4% of patients (n = 61; 95%) CI, 41.2-59.6) alive without PD after 6 months of treatment; median PFS was 7.3 months (n = 72; 95% Cl, 5.6-8.3).<sup>23</sup> Thus, taken together, data from SOLAR-1 and BYLieve cohort A support the use of alpelisib plus fulvestrant in patients with PIK3CA-mutant HR+, HER2- ABC regardless of prior exposure to CDK4/6is. In SOLAR-1, many patients in the cohort of patients with PIK3CA-mutated cancer presented with aggressive disease that can be harder to treat. Most patients (86%) had disease that was resistant to endocrine therapy (ET), half had lung/liver metastases, and approximately half received study treatment as second-line therapy. Subgroup analyses for OS in the cohort of patients with PIK3CA-mutated cancer demonstrated that adding alpelisib to fulvestrant led to a 14-month improvement in median OS in patients with liver/lung metastases, a subgroup of patients that may have more aggressive disease than those without liver/lung metastases. Consistent with these findings, presence of a PIK3CA mutation as detected in plasma ctDNA, which may be indicative of greater disease burden, may be associated with better response to alpelisib plus fulvestrant than fulvestrant alone.

The most common subsequent therapies in patients who discontinued study treatment were chemotherapy-based and hormone-therapy-based treatments. The PFS2 data from SOLAR-1, in this study comprising PFS1 + PFS2, suggest that the disease responds to a subsequent therapy. Although chemotherapy may be needed as a next step in treatment, delaying TTC can be meaningful for patients. In SOLAR-1, the addition of alpelisib to fulvestrant delayed median TTC by 8.5 months compared with fulvestrant only. These data demonstrate that patients can respond to other therapies after progression on the addition of alpelisib to

fulvestrant, suggesting no evidence of clonal selection by use of a PI3K $\alpha$  inhibitor in these patients.

The safety profile in SOLAR-1 was consistent with the established safety profile of alpelisib, including that observed in the primary analysis.<sup>12,16</sup> AEs observed with alpelisib were as expected based on its MOA and were reversible and manageable with medications or dose adjustments. The frequency of hyperglycemia did not increase with additional follow-up. With longer follow-up, rash AEs of special interest were consistent with previous reports and most of them were low grade events. Recent analyses of management and patient care in the SOLAR-1 and BYLieve studies demonstrated that prophylactic antihistamines reduced the incidence and severity of rash; similarly, management of hyperglycemia with metformin led to fewer discontinuations.<sup>19,23</sup> SOLAR-1 safety analysis indicated that discontinuation rates due to any-grade AEs (from 29.2% to 20.7%), including any-grade hyperglycemia (from 9.0% to 3.6%), had reduced in the last 50% of randomized patients compared with the first 50% of those randomized.<sup>19</sup> In addition, fewer discontinuations due to any-grade AE (20.5% versus 25%) and due to any-grade hyperglycemia (1.6% versus 6.3%) were observed in BYLieve cohort A compared with SOLAR-1, indicating that experience in monitoring and managing AEs has improved due to better education and implementation of early intervention and detailed management strategies.<sup>12,23</sup> Although AEs can impact health-related quality of life (QoL), patient-reported outcome analyses indicated overall maintained QoL and functioning, despite some changes in subscale and symptom scores—in the alpelisib plus fulvestrant arm (with no meaningful differences versus placebo plus fulvestrant arm) of SOLAR-1, further supporting alpelisib's risk-benefit profile in this patient population.<sup>2</sup>

With additional follow-up currently ongoing, the phase 3 SOLAR-1 trial showed a nearly 8-month numeric improvement in median OS with the addition of alpelisib to fulvestrant and may be associated with numerically longer

survival in patients with greater disease burden. The statistically significant and clinically meaningful PFS benefit observed in this population<sup>12</sup> supports the use of alpelisib plus fulvestrant in patients of HR+, HER2– ABC who have progressed on or after prior AI-based therapy and who have a poor prognosis due to the presence of a *PIK3CA* mutation.

# ACKNOWLEDGEMENTS

The authors thank the patients who participated in SOLAR-1, their families, and the staff members at each study site. The authors thank Nicole Parker, PhD, ELS, CMPP, Healthcare Consultancy Group, LLC, for medical writing assistance with this manuscript, which was funded by Novartis Pharmaceuticals Corporation.

# FUNDING

This work was supported by Novartis Pharmaceuticals Corporation. No grant number is applicable.

# DISCLOSURE

FA reports research grants, paid to the institution, from Novartis, AstraZeneca, Pfizer, Eli Lilly, Daiichi Sankyo, and Roche. EMC reports honoraria from Novartis, Pfizer, Eli Lilly, Roche, and AstraZeneca; consulting and paid speakers' bureaus for Novartis, Pfizer, Eli Lilly, and Roche; and travel support from Roche and Pfizer. DJ reports personal fees from Novartis, Genentech, Eisai, Ipsen, EMD Serono, Syros, Relay Therapeutics, MapKure, Vibliome, and Petra Pharma; grant from Novartis; and research grants to institution from Genentech, Eisai, EMD Serono, Takeda, Amgen, Celgene, Placon Therapeutics, Syros, Petra Pharma, InventisBio, and Infinity Pharmaceuticals. SL reports grants and other (honoraria for lectures and ad boards) from AbbVie, Amgen, AstraZeneca, Celgene, Novartis, Pfizer, Roche, and Daiichi Sankyo; other (honoraria for lectures and ad boards) from Seattle Genetics, PriME/Medscape, Eli Lilly, Samsung, Eirgenix, BMS, Puma, and MSD; personal fees from Chugai; grants from Teva, Vifor, and Immunomedics, outside the submitted work and paid to her institution; and patent EP14153692.0 pending. MC reports grants and non-financial support from Novartis; grants and personal fees from Roche; grants from Tessaro; personal fees from AstraZeneca and Pfizer; and other support from Servier, Eli Lilly, Sanofi, and Accord. IAM reports consulting fees from Novartis, Genentech, Eli Lilly, AstraZeneca, GlaxoSmithKline, Immunomedics, MacroGenics, Seattle Genetics, AbbVie, and Puma; and research funding to institution from Novartis, Genentech, AbbVie, Puma, Immunomedics, Polyphor, and Pfizer. GR reports consulting fees from Novartis, Lilly, and Pfizer, speaker fee from Novartis, Pfizer, Roche, Swixx, Lilly, and Amgen. TY reports grants and honoraria from Chugai, Kyowa Kirin, and Nippon Kayaku; and honoraria from Eisai, Novartis, Taiho, AstraZeneca, Pfizer Japan, Eli Lilly, and Daiichi Sankyo. BK reports speaker's fees from Novartis, Roche, AstraZeneca, Pfizer, and AbbVie. Y-SL reports clinical trial grants, consultation fees, and speaker fees from Novartis; consultation fees from Pfizer and Boehringer

Ingelheim; and contracted research grants from Roche, Pfizer, GlaxoSmithKline, and Merck, Sharp & Dohme. KI reports grants to institution from Novartis during the conduct of the study; grants to institution and personal fees from Pfizer, Chugai, and Eli Lilly; and grants to institution from Daiichi Sankyo, PAREXEL/Puma Biotechnology, MSD, Astra-Zeneca, and Sanofi, outside the submitted work. MT reports personal fees from AstraZeneca, Eisai, Eli Lilly, Novartis, and Pfizer; and grants from Chugai, Eisai, Nippon Kayaku, and Taiho. FG is employed by and owns stock in Novartis Pharmaceuticals Corporation. DM is employed by and owns stock in Novartis Pharma AG. MK is employed by and owns stock in Novartis Pharmaceuticals Corporation. MM is employed by and owns stock in Novartis Pharmaceuticals Corporation. PFC reports speakers' bureau for Roche/Genentech, Novartis, and AstraZeneca; research funding to institution from Roche, Novartis, and Merck Serono; and travel, accommodations, and expenses from Novartis, Celgene, and AstraZeneca. HI reports honoraria from Daiichi Sankyo, Chugai/Roche, AstraZeneca, Pfizer, Eli Lilly, Novartis, Taiho, and Eisai; consulting or advisory role for Daiichi Sankyo, Chugai/Roche, AstraZeneca, Eli Lilly, Pfizer, Novartis, Kyowa Hakko Kirin, AbbVie, and Odonate; uncompensated member of the steering committee for the SOLAR-1 trial; and member of the steering committee of DS-8201 registration study. HSR reports research funding paid to institution from Pfizer, Merck, Novartis, Eli Lilly, Genentech, OBI, Odonate, Sermonix, Daiichi Sankyo, Eisai, Seattle Genetics, MacroGenics and Immunomedics; travel, accommodations, and expenses from Daiichi Sankyo, Mylan, Pfizer, Merck, AstraZeneca, Novartis, and MacroGenics; one-time consulting for Samsung; and advisory boards for Puma. ZP has declared no conflicts of interest.

# REFERENCES

- 1. Di Leo A, Johnston S, Lee KS, et al. Buparlisib plus fulvestrant in postmenopausal women with hormone-receptor-positive, HER2-negative, advanced breast cancer progressing on or after mTOR inhibition (BELLE-3): a randomised, double-blind, placebo-controlled, phase 3 trial. *Lancet Oncol.* 2018;19:87-100.
- Mollon L, Aguilar A, Anderson E, et al. A systematic literature review of the prevalence of PIK3CA mutations and mutation hotspots in HR+/ HER2— metastatic breast cancer. Paper presented at: American Association for Cancer Research Annual Meeting 2018; 14-17 April 2018; Chicago, IL. Abstract 1207.
- **3.** Moynahan ME, Chen D, He W, et al. Correlation between PIK3CA mutations in cell-free DNA and everolimus efficacy in HR+, HER2-advanced breast cancer: results from BOLERO-2. *Br J Cancer*. 2017;116: 726-730.
- 4. Cancer Genome Atlas Network. Comprehensive molecular portraits of human breast tumours. *Nature*. 2012;490:61.
- Tolaney S, Toi M, Neven P, et al. Clinical significance of PIK3CA and ESR1 mutations in ctDNA and FFPE samples from the MONARCH 2 study of abemaciclib plus fulvestrant. Paper presented at: American Association for Cancer Research Annual Meeting 2019; 29 March - 3 April 2019; Atlanta, GA. Abstract 4458.
- 6. Angus L, Smid M, Wilting SM, et al. The genomic landscape of metastatic breast cancer highlights changes in mutation and signature frequencies. *Nat Genet.* 2019;51:1450-1458.
- 7. Martinez-Saez O, Chic N, Pascual T, et al. Frequency and spectrum of PIK3CA somatic mutations in breast cancer. *Breast Cancer Res.* 2020;22:45.

- 8. Mosele F, Stefanovska B, Lusque A, et al. Outcome and molecular landscape of patients with PIK3CA-mutated metastatic breast cancer. *Ann Oncol.* 2020;31:377-386.
- **9.** Sobhani N, Roviello G, Corona SP, et al. The prognostic value of PI3K mutational status in breast cancer: a meta-analysis. *J Cell Biochem*. 2018;119:4287-4292.
- **10.** Fritsch C, Huang A, Chatenay-Rivauday C, et al. Characterization of the novel and specific PI3K $\alpha$  inhibitor NVP-BYL719 and development of the patient stratification strategy for clinical trials. *Mol Cancer Ther.* 2014;13:1117-1129.
- Fritsch C, Pfister E, Ebel N, et al. Determination of the PI3Kα selective inhibitor alpelisib mechanism of action and efficacy in ER+/PIK3CA mutant breast cancer preclinical models. Paper presented at: American Association for Cancer Research 2018 Annual Meeting; 14-18 April 2018; Chicago, IL. Abstract 3934.
- **12.** André F, Ciruelos E, Rubovszky G, et al. Alpelisib for PIK3CA-mutated, hormone receptor-positive advanced breast cancer. *N Engl J Med.* 2019;380:1929-1940.
- Juric D, Ciruelos EM, Rubovszky G, et al. Alpelisib (ALP) + fulvestrant (FUL) for advanced breast cancer (ABC): phase 3 SOLAR-1 trial results. Paper presented at: San Antonio Breast Cancer Symposium; 4-8 December 2018; San Antonio, TX. Abstract GS3-08.
- 14. Furet P, Guagnano V, Fairhurst RA, et al. Discovery of NVP-BYL719 a potent and selective phosphatidylinositol-3 kinase alpha inhibitor selected for clinical evaluation. *Bioorg Med Chem Lett.* 2013;23:3741-3748.
- André F, Ciruelos EM, Rubovszky G, et al. Alpelisib + fulvestrant for HR+, HER2- advanced breast cancer: Results of the phase III SOLAR-1 trial. Paper presented at: European Society for Medical Oncology Congress 2018; 19-23 October 2018; Munich, Germany. Abstract LBA3.
- **16.** Juric D, Rodon J, Tabernero J, et al. Phosphatidylinositol 3-kinase  $\alpha$ -selective inhibition with alpelisib (BYL719) in PIK3CA-altered solid tumors: results from the first-in-human study. *J Clin Oncol.* 2018;36: 1291-1299.
- Juric D, Janku F, Rodón J, et al. Alpelisib plus fulvestrant in PIK3CAaltered and PIK3CA-wild-type estrogen receptor-positive advanced breast cancer: a phase 1b clinical trial. JAMA Oncol. 2019;5:e184475.

- Rugo HS, Mayer IA, Conte P. Prevalence of PIK3CA mutations in patients with hormone receptor-positive, human epidermal growth factor receptor-2-negative advanced breast cancer from the SOLAR-1 Trial. Paper presented at: American Association for Cancer Research Annual Meeting 2019; 29 March - 3 April 2019; Atlanta, GA. Abstract CT142.
- **19.** Rugo HS, André F, Yamashita T, et al. Time course and management of key adverse events during the randomized phase III SOLAR-1 study of PI3K inhibitor alpelisib plus fulvestrant in patients with HR-positive advanced breast cancer. *Ann Oncol.* 2020;31:1001-1010.
- 20. Cristofanilli M, Turner NC, Bondarenko I, et al. Fulvestrant plus palbociclib versus fulvestrant plus placebo for treatment of hormonereceptor-positive, HER2-negative metastatic breast cancer that progressed on previous endocrine therapy (PALOMA-3): final analysis of the multicentre, double-blind, phase 3 randomised controlled trial. *Lancet Oncol.* 2016;17:425-439.
- Baselga J, Im SA, Iwata H, et al. Buparlisib plus fulvestrant versus placebo plus fulvestrant in postmenopausal, hormone receptorpositive, HER2-negative, advanced breast cancer (BELLE-2): a randomised, double-blind, placebo-controlled, phase 3 trial. *Lancet Oncol.* 2017;18:904-916.
- 22. Seidman AD, Bordeleau L, Fehrenbacher L, et al. National Cancer Institute Breast Cancer Steering Committee Working Group report on meaningful and appropriate end points for clinical trials in metastatic breast cancer. J Clin Oncol. 2018;36:3259-3268.
- 23. Rugo HS, Lerebours F, Ciruelos E. Alpelisib + fulvestrant in patients with PIK3CA-Mutated hormone-receptor positive (HR+), human epidermal growth factor receptor-2-negative (HER2-) advanced breast cancer (ABC) previously treated with cyclin-dependent kinase 4/6 inhibitor (CDKi) + aromatase inhibitor (AI): BYLieve study results. Paper presented at: Virtual American Society of Clinical Oncology 2020 Annual Meeting; 29-31 May 2020. Abstract 1006.
- 24. Mayer IA, Rugo HS, Loibl S, et al. Patient-reported outcomes in patients with PIK3CA-mutated hormone receptor-positive, human epidermal growth factor receptor-2-negative advanced breast cancer from SOLAR-1. Paper presented at: American Society of Clinical Oncology 2019 Annual Meeting; 31 May - 4 June; Chicago, IL. Abstract 1039.